

Otsuka et al. v. Polo Ralph Lauren et al.
United States District Court Northern District of California
Case No. C07-02780 SI

F I L E D

MAR 29 2010

RICHARD W. WIEKING
U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

Defendants' Trial Exhibit List¹
(Excluding Charts and also Excluding Exhibits Solely for Impeachment or Rebuttal)

Exhibit No.	Bates No.	Date	Description	Supporting Witness
200	N/A	Undated	Map and List of Polo Lauren Store Locations	Kristi Mogel, Sharonda Weatherspoon; Diana Copeland
201	POL000133-00134	04/28/2004	Janis Howay Application for employment	Janis Howay, Kristi Mogel
202	POL000144	05/24/2004	Janis Howay Signed Policy and Procedure Acknowledgement form	Janis Howay, Kristi Mogel
203	POL000130	06/01/2004	Janis Howay Signed Receipt for Employee Handbook form	Janis Howay, Kristi Mogel
204	POL000128	11/26/2004	Janis Howay Performance discussion recap form	Janis Howay, Kristi Mogel
205	POL001766-01767; POL001757-01761; POL001748	Various Dates	<ul style="list-style-type: none">• Renee Davis Performance discussion recap form (POLO001766-001767) 08/10/2003• Renee Davis Performance discussion recap form (POLO01757) 02/07/2004• Renee Davis List of absences from work (POLO001758-01759)• Letter to Renee Davis from April Hicks discussing attendance	

Pg Bates # 1748 in 3/17/10

¹ Defendants reserve the right to supplement trial exhibit list as trial preparation progresses.

Otsuka et al. v. Polo Ralph Lauren et al.
 United States District Court Northern District of California
 Case No. C07-02780 SI

Edition No.	Bates No.	Date	Description	Supporting witness	Signature
206	POLO001026	Undated	<ul style="list-style-type: none"> Davis letter of resignation (POLO01748) 02/28/2004 	Renee Davis, Sharonda Weatherspoon	
207	POLO001785	11/21/2002	<ul style="list-style-type: none"> Speak Up" flyer to report unethical activity 	Renee Davis, Sharonda Weatherspoon	
208	POLO00151; POLO00146-00148 3/16/04	06/07/2004	<ul style="list-style-type: none"> Corinne Mullen Application for employment Corinne Mullen resume (POLO00146-00148) 	Corinne Mullen, Kristi Mogel	
209	POLO00145	06/28/2004	<ul style="list-style-type: none"> Corinne Mullen Signed Personnel Authorization Form 	Corinne Mullen, Kristi Mogel	
210	POLO00156-00158 3/16/04	Various Dates	<ul style="list-style-type: none"> Corinne Mullen Signed Acknowledgement of Temporary Assignment form (POLO00156) 06/29/2004 Corinne Mullen Signed Associate Discount Policy (POLO00157) 06/29/2004 Corinne Mullen Safety Training Acknowledgement (POLO00158) 	Corinne Mullen, Kristi Mogel	
211	POLO00159	06/23/2004	<ul style="list-style-type: none"> Corinne Mullen Signed Receipt for Employee Handbook form 	Corinne Mullen, Kristi Mogel	

Otsuka et al. v. Polo Ralph Lauren et al.
 United States District Court Northern District of California
 Case No. C07-02780 SI

Exhibit	Case Number	Date	Description	Supporting Witness	Signature
212	POL000164	10/25/2004	Corinne Mullen Employee Personnel Action Form	Corinne Mullen, Kristi Mogel	
213 3/16/10	PHIPPS CONFIDENTIAL 2-8	Undated	Pay Stubs for periods ending 08/28/04, 07/31/04, 07/17/04, 09/11/04, 10/09/04, 08/14/04	Corinne Mullen, Joanne Canovas, Beth Flynn	
214	POL003634-03635	00/00/2001	LP Awareness Training Module: Senior Management Loss Prevention Policies	Alyson Gunder	
215 3/24/10	POL002058-02122; POL009774-09777; POL0060134-060173	00/00/2003-00/00/2009	2003-2009 Reconciliations	Evan Cohen	
216	POL003608-03633	09/00/2007	Memo re: 2003-2006 Overtime Re-Conciliation	Evan Cohen	
217	N/A	10/05/2009	Hossein Borhani Expert Report and all supporting documentation	Dr. Hossein Borhani	
218	N/A	Undated	Sample Wage and Hour Class Action Questionnaire prepared by Dr. Dwight Steward	Dr. Dwight Steward	
219	N/A	Undated	Compilation of data from questionnaires sent by Dr. Dwight Steward	Dr. Dwight Steward	
220	N/A	06/20/2008	Declaration of Polo Sales Associates, Stock Supervisors, Senior Sellers, Key Holders, Supervisors, Lead Cashiers, In Support of Defendants' Opposition to Motion for Class Certification	Judicial Notice	
			Michiko Takada Adam Bourque Alina Avakyan		

Otsuka et al. v. Polo Ralph Lauren et al.
 United States District Court Northern District of California
 Case No. C07-02780 SI

Serial No.	Case Name	Date	Description	Subject to Class Action	Subject to Motion for Class Certification
			Lawrence Barbosa Josephine Sahyoun Kathryn Cantwell Morgan Simmons Holly Beaty Robert Lo Monaco Desiree Dillon Laura Hanes Aaron King Dorsie May Stevens Kimell Ellington Brandi Ellsworth Bao Yang Abdel-Rahim Shalabi Sergio Silva-Canseco Andrea Rocca Lindsey Flores Bianca Benavente Roberto Limones Ruben Escalente Oscar Segura Natalie Vicino		Declarations of Polo Store General Managers, Co-General Managers, Assistant General Managers, District Managers, In Support of Defendants' Opposition to Motion for Class Certification Nina Holowka Nilly Foster Ann Powell Jenna Baker John Messersmith
221					

Otsuka et al. v. Polo Ralph Lauren et al.
 United States District Court Northern District of California
 Case No. C07-02780 SI

Case No.	Date	Description	Supporting Document	Signature
		Catherine L. Post Everett Ferreira Diane Wilson Tanya Taylor Andrea Williams Lianne Ishikawa Hillary Tucker Nancy Hong Sandra Brodie Timothy Homan Carin Pennisi Carey Hernandez David Botello Arriana Burkleo		
222	POL000256-00264	05/01/2004- 08/30/2005	Payroll Hours by Employee Report	
223	POL000268-00270	08/00/2004- 08/00/2005	Payroll Time Cards	
224	POL000271-00274	05/00/2004- 07/00/2005	Payroll Manual Adjustments Report	
225	N/A	Undated	CD - Polo Employee Bag Inspections Video Clips	Alyson Gunder
226	POL003652-03655	Undated	CD - Polo Training Videos	Alyson Gunder
227	N/A	Undated	CD - Polo Background Documents (Dwight Steward)	Dr. Dwight Steward (Beth Flynn, Joanne Canovas)
228	N/A	Undated	CD - Polo Supporting Documents (Dwight Steward)	Dr. Dwight Steward, Beth Flynn, Joanne Canovas
229	N/A	11/19/2009	CD - Hossein Borhani Deposition Backup Documents	Dr. Hossein Borhani, Beth Flynn, Joanne

Otsuka et al. v. Polo Ralph Lauren et al.
 United States District Court Northern District of California
 Case No. C07-02780 SI

Exhibit Index	Bates No.	Date	Description	Signature witness	Signature
330	Notice to	3/22/10	(Hessein-Berham)	Canovas	

Olaesa
 4/21/09